

Docket No.:

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MONOAZO METAL COMPLEX COMPOUND CONTAINING COMPOSITION AND PRODUCTION METHOD THEREOF

The specification of which

(Check one) ☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_

☐ A copy is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 34, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

JP 2000 - 163222	JAPAN	31/May/2000	[X ]Yes [ ]No
(Number)	(Country)	(Day/Month/Year Filed)	
JP 2001 - 114208	JAPAN	12/April/2001	[X ]Yes [ ]No
(Number)	(Country)	(Day/Month/Year Filed)	
			[ ]Yes [ ]No
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code 112.

I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No) (Filing Date) (Patented, Pending, Abandoned)

\_\_\_\_\_  
(Application Serial No) (Filing Date) (Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

John J. McGlew, Reg. 17,722; and/or John James McGlew, Reg. 31,903; and/or Hilda S. McGlew Reg. 30,295; and/or Clario Ceccon, Reg. 19,268

Address all calls to: OFFICE MANAGER at telephone No.  
(914) 941-5600

Address all correspondence to:

McGLEW AND TUTTLE, P.C.  
SCARBOROUGH STATION  
SCARBOROUGH, NEW YORK 10510

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 28 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor if any Akihiro TADA

Inventor's signature Akihiro Tada Date May 21, 2001  
Residence OSAKA, JAPAN  
Citizenship Japanese  
Post Office Address:

33 - 7 - 106, Wakazono - cho, Ibaraki - shi, OSAKA, JAPAN

Full name of second inventor if any Tohru TSURUHARA

Inventor's signature Tohru Tsuruhara Date May 21, 2001  
Residence OSAKA, JAPAN  
Citizenship Japanese  
Post Office Address:

16 - 3 - 101, Kitasumoto, Kadoma - shi, OSAKA, JAPAN



6c  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 16, 2001

PTAS



\*101743325A\*

MCGLEW & TUTTLE, P.C.  
JOHN JAMES MCGLEW  
SCARBOROUGH STATION  
SCARBOROUGH, NEW YORK 10510-0827

70181

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/31/2001

REEL/FRAME: 011863/0066  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TADA, AKIHIRO

DOC DATE: 05/21/2001

ASSIGNOR:  
TSURUHARA, TOHRU

DOC DATE: 05/21/2001

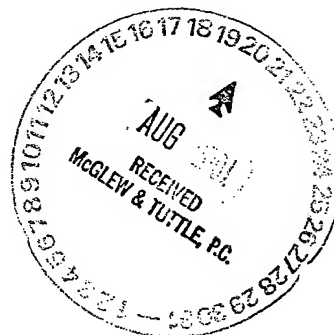
ASSIGNEE:  
ORIENT CHEMICAL INDUSTRIES, LTD.  
7-14, SHINMORI 1-CHOME  
ASAHI-KU, OSAKA-SHI, OSAKA, JAPAN

COPY

SERIAL NUMBER: 09871539  
PATENT NUMBER:

FILING DATE: 05/31/2001  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



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06-08-2001

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JC986 U.S. PTO  
09/871539  
05/31/01

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

RE: Our File: 70181

1. Name (and address) of  
conveying party(ies)

2. Name and Address of  
receiving party(ies)

Akihiro TADA  
33-7-106, Wakazono-cho,  
Ibaraki-shi, Osaka, Japan  
Execution Date: May 21, 2001

Orient Chemical Industries,  
Ltd.  
7-14, Shinmori 1-chome,  
Asahi-ku, Osaka-shi, Osaka,  
Japan

Tohru TSURUHARA  
16-3-101, Kitasumoto, Kadoma-  
shi, Osaka, Japan  
Execution Date: May 21, 2001

3. Submission Type:

- (X) New  
( ) Resubmission Document ID# \_\_\_\_  
( ) (Non-Recordation)  
( ) Correction of PTO Error Reel/Frame: \_\_\_\_  
( ) Corrective Document Reel/Frame: \_\_\_\_

4. Nature of conveyance:

- (X) Assignment; ( ) Merger  
( ) Security Agreement; ( ) Change of Name  
( ) Other;

Execution Date: May 21, 2001

5. Application number(s) or patent number(s):

If this document is being filed together with a new  
application, the execution date of the application is: May  
21, 2001

A. Patent Application No.(s) B. Patent No.(s)

09871539  
Additional numbers attached ( ) Yes (X) No

6. Name and address of party to whom correspondence  
concerning document should be mailed:

McGlew & Tuttle, P.C.  
Scarborough Station  
Scarborough, New York 10510-0827

7. Total number of applications and patents involved: 1

8. Total fee (37 CFR 3.41) \$ 40.00

(X) Enclosed

( ) Authorized to be charged to deposit account

( ) Already submitted

9. Deposit account number:

13-0410

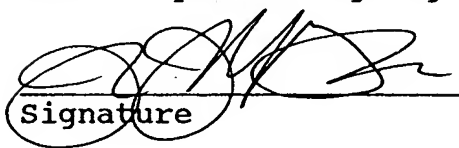
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John James McGlew  
Name of person signing

Reg. No. 31,903

  
Signature

May 31, 2001  
Date

Total number of pages comprising cover sheet, attachments, and documents 4

JJM:sk

Enclosures:     - Assignment Document  
                  - Check for recording fee of \$40.00

SCARBOROUGH STATION  
SCARBOROUGH, NEW YORK 10510  
DATED: May 31, 2001

NOTE:           IF THERE IS ANY FEE DUE AT THIS TIME, PLEASE CHARGE IT TO OUR DEPOSIT ACCOUNT NO. 13-0410 AND ADVISE.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL, REGISTRATION NO. EL151019471US IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON May 31, 2001

McGLEW AND TUTTLE, P.C., SCARBOROUGH STATION,  
SCARBOROUGH, NEW YORK 10510-0827

By: 

Date: May 31, 2001

(J/) File No.:

ASSIGNMENT OF U.S. PATENT APPLICATION

WHEREAS,  
Akihiro TADA ; Tohru TSURUHARA  
;residing respectively at:

33 - 7 - 106, Wakazono - cho, Ibaraki - shi, OSAKA, JAPAN ;

16 - 3 - 101, Kitasumoto, Kadoma - shi, OSAKA, JAPAN ;

have invented certain new and useful improvements in an invention  
entitled:

MONOAZO METAL COMPLEX COMPOUND CONTAINING COMPOSITION AND  
PRODUCTION METHOD THEREOF

AND WHEREAS,

ORIENT CHEMICAL INDUSTRIES, LTD.

with a principal place of business at:

7 - 14, Shinmori 1 - chome, Asahi - ku, Osaka - shi, OSAKA, JAPAN

(hereinafter referred to as Assignee) is desirous of acquiring an  
interest therein-and-in the Letters Patent to be obtained therefor  
from the United States.

NOW THEREFORE, be it known by all whom it may concern, that for and  
in consideration of the sum of One Dollar (\$1.00) (or the equivalent  
thereof in foreign currency) and other valuable consideration to us  
in hand paid, the receipt of which is hereby acknowledged, we have  
assigned, sold and set over and by these presents assign, sell and  
set over unto said Assignee for the territory of the United States  
of America and not elsewhere, the full and exclusive right, title

and interest in and to the said invention, as fully set forth and described in the specification prepared and executed by me on \_\_\_\_\_ filed \_\_\_\_\_, Ser. No. \_\_\_\_\_, preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be held and enjoyed by the said Assignee for its own use and behoof and for the use and behoof of its successors and assigns to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

IN WITNESS WHEREOF, I have hereunto set my hand this 21<sup>th</sup> day of May, 2001

Akihiro Tada  
Akihiro TADA

Tohru Tsuruhara  
; Tohru TSURUHARA ;

In the presence of: Yoji KAWAGISHI Heihachi YUSHINA

Witness: Yoji Kawagishi Heihachi Yushina

MCGLEW AND TUTTLE, P.C.  
SCARBOROUGH STATION SCARBOROUGH,  
NEW YORK 10510-0827 U.S.A.